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Galaxy Zoo: bulgeless galaxies with growing black holes

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ABSTRACT

The growth of supermassive black holes appears to be driven by galaxy mergers, violent merger-free processes and/or ‘secular’ processes. In order to quantify the effects of secular evolution on black hole growth, we study a sample of active galactic nuclei (AGN) in galaxies with a calm formation history free of significant mergers, a population that heretofore has been difficult to locate. Here we present an initial sample of 13 AGN in massive ($M^* \gtrsim 10^{10} M_\odot$) bulgeless galaxies – which lack the classical bulges believed inevitably to result from mergers – selected from the Sloan Digital Sky Survey using visual classifications from Galaxy Zoo. Parametric morphological fitting confirms that the host galaxies lack classical bulges; any contributions from pseudo-bulges are very small (typically <5 per cent). We compute black hole masses for the two broad-line objects in the sample ($4.2 \times 10^6$ and $1.2 \times 10^7 M_\odot$) and place lower limits on black hole masses for the remaining sample (typically $M_{BH} \gtrsim 10^6 M_\odot$), showing that significant black hole growth must be possible in the absence of mergers or violent disc instabilities.

The black hole masses are systematically higher than expected from established bulge–black hole relations. However, if the mean Eddington ratio of the systems with measured black hole masses ($L/L_{\text{Edd}} \approx 0.065$) is typical, 10 of 13 sources are consistent with the correlation between black hole mass and total stellar mass. That pure disc galaxies and their central black holes may be consistent with a relation derived from elliptical and bulge-dominated galaxies with very different formation histories implies the details of stellar galaxy evolution and dynamics may not be fundamental to the co-evolution of galaxies and black holes.

Key words: galaxies: active – galaxies: bulges – galaxies: evolution – galaxies: general – galaxies: Seyfert – galaxies: spiral.

1 INTRODUCTION

Constraining the contribution of mergers to the evolution of the galaxy population is one of the fundamental challenges in modern galaxy formation theory. Galaxies have long been believed to form hierarchically, building up to their observed sizes through a series of mergers (White & Rees 1978; Kauffmann, White & Guiderdoni 1993). The merger history of each galaxy thus contributes significantly to the galaxy’s stellar and gas dynamics, and is also thought

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to drive the co-evolution of a galaxy with its central supermassive black hole (SMBH; Sanders et al. 1988; Di Matteo, Springel & Hernquist 2005; Croton et al. 2006; Hopkins et al. 2006, 2008). Given such a fundamental effect, distinguishing between the effect of mergers and that of other evolutionary pathways, such as the slow, internal processes collectively known as ‘secular’ evolution, is difficult. In this paper, we present a sample of massive galaxies chosen to have had no significant merger in their history, discuss their properties and demonstrate for the first time with such a large sample that substantial black hole growth (to $M_{\text{BH}} \gtrsim 10^{6-7} M_\odot$) is possible without the advent of a significant merger.

A galaxy’s morphology contains signatures of its evolutionary history. In particular, the assembly of massive disc galaxies through mergers inevitably produces a central bulge component (e.g. Toomre 1977; Walker, Mihos & Hernquist 1996; Hopkins et al. 2011; Martig et al. 2012), and some merger-free processes such as processes that can also form a bulge (e.g. Noguchi 1999; Elmegreen, Elmegreen & Hirst 2004). The bulge is dynamically hot, rising vertically above the disc, and has a steeper density profile than an exponential disc (de Vaucouleurs 1953). A galaxy lacking a central bulge must thus have a formation history free of violent formation processes. This implies a lack of significant mergers, with a strong limit on the mass ratio between the main galaxy and any accreting satellite galaxies ($\sim 1:10$; Walker et al. 1996; Hopkins et al. 2011, though Brook et al. 2012 suggest the ratio may be as high as 1:4).

Such bulgeless galaxies, with a purely secular formation history, might be expected to be rare in a hierarchical scenario. The presence amongst the galaxy population of large bulgeless galaxies thus presents a serious challenge to this picture (Kormendy et al. 2010), as they cannot have undergone a significant merger yet have assembled stellar masses of $M_\star \gtrsim 10^{10} M_\odot$.

Additionally, the well-established correlations between galaxies and their central SMBHs (e.g. Magorrian et al. 1998; Ferrarese & Merritt 2000; Kormendy & Gebhardt 2001; Tremaine et al. 2002; Marconi & Hunt 2003; Haring & Rix 2004) have led to the prevalence of major merger-driven theories for black hole-galaxy co-evolution (Sanders et al. 1988; Di Matteo et al. 2005; Croton et al. 2006; Hopkins et al. 2008). However, a growing body of recent work suggests that minor mergers, cold accretion and secular processes may be a more typical means of growing a galaxy and its central black hole, both locally (e.g. Greene et al. 2010; Jiang et al. 2011b) and at higher redshift (e.g. Cisternas et al. 2011; Simmons et al. 2011; Schawinski et al. 2011a, 2012; Kocevski et al. 2012).

Owing in part to the compounded rarity of both massive, bulgeless galaxies and active galactic nuclei (AGN), the extent to which an SMBH can grow in the absence of merger processes remains difficult to characterize. Galaxies lacking classical bulges but hosting AGN have previously been found; these typically have lower stellar masses compared to the general galaxy population, and/or host black holes with relatively low black hole masses (e.g. NGC 4395, Filippenko & Ho 2003; NGC 3621, Satyapal et al. 2007; NGC 4178, Satyapal et al. 2009; NGC 3367 and NGC 4536, McAlpine et al. 2011; NGC 4561, Araya Salvo et al. 2012; Secrest et al. 2012). In some cases, these properties are at least in part a direct result of sample selection, as in studies based on samples of low-mass black holes (Greene & Ho 2004, 2007; Greene, Ho & Barth 2008; Greene et al. 2010; Jiang, Greene & Ho 2011a; Jiang et al. 2011b).

This paper uses morphological classifications from the Galaxy Zoo project (Lintott et al. 2008, 2011) to construct a sample of bulgeless galaxies that host actively growing black holes. Selecting galaxies that lack classical bulges (as opposed to galaxies with a more varied history of both secular and merger-driven evolution) enables the isolated study of black hole growth in the absence of mergers. This selection includes optical detection of an AGN but no restriction on its black hole mass. These galaxies provide a strong challenge to models of galaxy formation, requiring substantial and ongoing secular growth of a central black hole.

We aim to use this rare population to assess whether these galaxies fall on the same galaxy–black hole relations seen in galaxies with more merger-driven histories. By comparing upper limits on bulge masses to black hole masses from broad emission lines and lower limits on black hole masses using Eddington limits, we assess the sizes to which black holes can grow over their lifetimes due to secular processes alone.

Section 2 describes the methods used to select bulgeless galaxies with growing black holes from Galaxy Zoo and the Sloan Digital Sky Survey (SDSS; York et al. 2000). Section 3 presents the sample of host galaxies, with Section 4 detailing how the black hole masses and lower limits are calculated. In Section 5, we discuss how bulgeless AGN host galaxies inform our understanding of the co-evolution of black holes and galaxies. Throughout this paper, we assume $H_0 = 71$ km s$^{-1}$ Mpc$^{-1}$, $\Omega_M = 0.27$ and $\Omega_{\Lambda} = 0.73$, consistent with the most recent Wilkinson Microwave Anisotropy Probe (WMAP) cosmology (Komatsu et al. 2011).

### 2 FINDING BULGELESS AGN HOST GALAXIES IN GALAXY ZOO

We use visual morphologies drawn from the Galaxy Zoo 2 project, first described in Masters et al. (2011), to assemble a sample of approximately 10,500 disc galaxies drawn from SDSS which appear bulgeless or nearly bulgeless. We then select a much smaller sample of bulgeless galaxies which host growing SMBHs. This section describes first the initial selection of disc galaxies, followed by the AGN identification and hence a conservative selection of bulgeless AGN host galaxies.

Galaxy Zoo volunteers are asked to classify randomly chosen colour images of SDSS systems by clicking buttons in response to a set of descriptive questions arranged into a decision tree. The most relevant here is a question which asks volunteers to classify the bulges of systems already identified as face-on spirals into one of four categories: no-bulge, just-noticeable, obvious and dominant. A full description of the Galaxy Zoo decision tree is given in Masters et al. (2011).

#### 2.1 AGN bias: point sources can mimic bulges

Galaxy Zoo provides many independent classifications of each system because of the large number of citizen scientists participating. While this approach has many advantages over classification by either an individual or a small group of experts, it is still prone to the biases inherent in morphological classification. These are particularly acute when dealing with small bulges in systems with AGN, where the presence of a nuclear point source can be confused with a central bulge.

In order to investigate the size of this effect on morphological classification, simulated AGN were added to a subsample of images in the most recent iteration of Galaxy Zoo, which uses data drawn from large Hubble Space Telescope (HST) surveys. These AGN host galaxy simulations, created using a similar method to those described in section 3.3 of Simmons & Urry (2008), are at higher

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1. www.galaxyzoo.org
Galaxy Zoo: Bulgeless AGN host galaxies

2.2 Classical bulges versus pseudo-bulges

One particular difficulty in the discovery and analysis of bulgeless galaxies is the distinction between a bulge and a pseudo-bulge. A classical bulge, as defined by Kormendy & Kennicutt (2004), is ‘an elliptical living in a disc’, formed by mergers as discussed above, while a pseudo-bulge still retains signs of having been formed from disc-driven processes. A pseudo-bulge is, therefore, unlike a bulge in that it is a dynamically cold system, with stars distributed in a disc but with a somewhat steeper density profile than a typical disc. Pseudo-bulges may also be marked by spiral structure or the presence of a nuclear bar or starbursts, and may have profiles similar to the exponentials seen in discs. Their formation mechanism is a matter of debate (e.g. Fisher & Drory 2010; Kormendy et al. 2010) but the presence of a pseudo-bulge in a galaxy is consistent with a merger-free history.

The ability to distinguish between pseudo-bulges and classical bulges is therefore of key importance in determining whether a galaxy is truly bulgeless. Because pseudo-bulges have light profiles more closely resembling exponential discs than classical bulges, parametric morphological fitting of a pseudo-bulge with a Sérsic profile should indicate a more disc-like profile (where an exponential disc has a Sérsic index of \( n = 1 \)) rather than a classical de Vaucouleurs bulge (which has \( n = 4 \)). A Sérsic index of \( n = 2 \) is commonly used as a divider; this criterion has been shown to be reliable for characterizing a sample (Fisher & Drory 2008), although there are outliers. While the typical Sérsic index for a classical bulge is a function of luminosity/mass (e.g. Graham & Guzmán 2003; Graham & Worley 2008), classical bulges with \( n \leq 2 \) are rare for galaxies with masses comparable to this sample.

We therefore use the Sérsic index criterion as an initial assessment on the nature of compact host galaxy components in Section 2.4. Further, checks on individual objects use the Kormendy (1977) relation to assess the location of a compact host galaxy component on the \( (\mu_e - r_e) \) plane compared to dynamically confirmed classical and pseudo-bulges (following Gadotti 2009; see Section 3.1 below).

2.3 Sample selection

2.3.1 Broad parent sample

Galaxies were selected where at least 30 people classified the system as spiral and not edge-on, and subsequently answered the question ‘How prominent is the central bulge, compared with the rest of the galaxy?’ We further require that the combined classifications of bulge + just-noticeable be \( \geq 70 \) per cent for a bulgeless host galaxy with a faint simulated AGN. When the nuclear point-source luminosity is increased to one tenth that of the host galaxy, the no-bulge classification decreases to 10 per cent or less, with a corresponding and significant increase in the just-noticeable and obvious bulge classifications. As the AGN luminosity increases with respect to the host galaxy, the visually classified bulge fraction increases substantially.

While it is well established that parametric morphologies can overestimate a bulge contribution if an AGN is present but not accounted for in a parametric analysis (e.g. Simmons & Urry 2008), these results from Galaxy Zoo simulations demonstrate that this tendency also appears in visual classifications of AGN host galaxies. Without care being taken to distinguish nuclear activity from a bulge, therefore, a strict selection is likely to reject many truly bulgeless galaxies hosting both unobscured and obscured AGN. We account for this bias using a combination of a more relaxed initial selection, follow-up visual inspection, and finally parametric separation of host galaxy from AGN.

2.3.2 AGN selection

AGN are selected from the parent sample described above using the optical line diagnostic first described by Baldwin et al. (1981) and now called the BPT diagram. Requiring line measurements with signal-to-noise ratio \( S/N \geq 3 \) for each of the \([\text{O} \text{III}] \lambda 5007, [\text{N} \text{II}] \lambda 6583, \text{H} \alpha\) and \( \text{H} \beta \) lines produces 5904 sources from the broad parent sample; their positions on the BPT diagram are shown in Fig. 1.

We select galaxies in the region above both the extreme star formation line of Kewley et al. (2001) and the empirical AGN–LINER separation of Schawinski et al. (2007), which are unambiguously AGN hosts; 100 galaxies lie in this region of the parameter space. Because this AGN selection method requires strong optical emission from the AGN, all the selected AGN are expected to have visually detectable optical point sources. As the parent sample was selected using broad bulge-classification criteria in order to account for confusion between point-sources and small bulges, many AGN hosts selected will not be truly bulgeless, but will instead have small bulges. To further select a sub-sample of truly bulgeless host galaxies, two authors (BDS and CIL) visually inspected each of the AGN+host galaxy images, selecting only those images with no indication of an extended bulge regardless of the point-like nuclear emission. This very conservative cut produced a sample of 15 AGN host galaxies that appear to be completely lacking a bulge. Fig. 2 shows SDSS colour images of each system.

As noted above, this is a lower limit on the AGN fraction as optical selection will not find heavily obscured sources. We have
single star, whilst still accounting for the unique conditions at each epoch of observation.

We use the two-dimensional parametric fitting program GALFIT (Peng et al. 2002, 2010) to simultaneously model the unresolved nucleus and the extended galaxy for each of the 15 AGN+host galaxies selected above, choosing the $r$-band images for their depth. Although $r$ is not immune to dust extinction/reddening, Driver et al. (2008) and Graham & Worley (2008) predict that the effect of dust on recovered morphological parameters should be minimal in a face-on sample such as ours.

Initially, we fit each source’s central region with a combination of a single Sérsic profile (Sérsic 1968) and a central point source. Initial parameters (magnitude, radius, axis ratio and position angle) were either drawn from the catalogue or estimated where they were not given by the SDSS catalogue. Initially, the host Sérsic index is set to $n = 2.5$ and allowed to vary. This value was chosen so as to avoid favouring either an exponential disc ($n = 1$) or a de Vaucouleur bulge ($n = 4$). We find, however, that the final best-fitting parameters (i.e. with a minimum $\chi^2$) are insensitive to initial indices in the range $1 \leq n \leq 4$, so long as the other initial parameters are reasonable.

The primary purpose of this initial fit is to converge on the central positions of each component; successive iterations include the extended regions of the galaxy. In order to ensure that the extended galaxy is properly fit, we fix the sky background to an independently determined value for each individual source. Where present, we also fit and subtract nearby bright stars and extended companion galaxies, and mask fainter compact sources from the fit.

Throughout, the primary goal of the fit was to neither over- nor under-subtract the galaxy’s central region. In most cases, this can only be achieved by either masking out asymmetric bright features (such as star-forming knots, bars and spiral arms) or adding them as additional components of the host galaxy fit. We fit these additional features only when they are necessary to ensure that the disc component is properly modelled in the central region of the galaxy.

Once the single Sérsic plus nuclear point-source fit has converged to its best-fitting solution, we add a small second Sérsic component with a variable $n$ and initially equally bright as the original source, both to constrain the contribution of a small extended bulge that may have been visually obscured by the nuclear point source and to distinguish between bulge and pseudo-bulge. As outlined in Section 2.2, compact host galaxy components having light profiles with Sérsic indices $n < 2$ are typically considered pseudo-bulges, whereas components with $n > 2$ are considered classical bulges.

Fig. 3 shows the SDSS $r$-band images and the residual images after subtraction of the best parametric fits. All of the recovered host parameters are reliable because the AGN are significantly fainter than their hosts (Simmons & Urry 2008). In practice, fitting multiple components to a source increases the uncertainties in recovered fit parameters over the computational uncertainties reported by GALFIT (Peng et al. 2010). We therefore add additional uncertainties to those reported by GALFIT using results from extensive parametric host galaxy fitting simulations (Simmons & Urry 2008). This additional uncertainty particularly affects the faint, compact second host galaxy components, but where a compact host component is detected we can nevertheless distinguish between bulge and pseudo-bulge in all but one system (described in Section 3). However, when calculating the upper limit to a possible bulge contribution to the galaxy (such as in Fig. 6), we include all the light from even those compact host components firmly detected as

![Figure 1](https://example.com/figure1.png)

**Figure 1.** Emission line ratios used as a diagnostic of AGN activity, following Baldwin, Phillips & Terlevich (1981). The solid line (Kauffmann et al. 2003) empirically separates pure star-forming galaxies from composite sources with both star formation and AGN activity. The dotted line (Kewley et al. 2001) shows the limit for extreme star formation. The dashed line (Schawinski et al. 2007) shows the empirical AGN–low-ionization nuclear emission-line region (LINER) separation. Grey points represent galaxies having a summed Galaxy Zoo 2 classification of no–bulge + just–noticeable ≥ 70 per cent and emission line S/N > 3 for all four emission lines, from which we selected 15 sources (open circles) in the AGN region with no visual evidence of a bulge despite an obvious nuclear point source.
pseudo-bulges. We therefore consider our bulge limits conservative upper limits.

By construction, the sample is unambiguously disc-dominated. However, one source (J162511.78+504202.1) appears to be a merger of a galaxy with a strong bulge \((n = 2.65 \pm 0.18)\) and a companion with tidal tails that resemble spiral arms. Another \((J085903.96+020503.9)\) is visually similar, but fitting indicates a disc-dominated \((n = 0.6 \pm 0.26)\) central component with a bulge-dominated companion. This system contains a broad-line AGN, and fitting the extended arms requires strong Fourier (asymmetric) modes. As the photometric redshift of the companion is consistent with a physical interaction between it and the primary source, this is likely a merger or post-merger and the arms may in fact be tidally induced features. Both galaxies are removed from our sample.

3 SAMPLE PROPERTIES

3.1 Are these AGN host galaxies really bulgeless?

The remaining sample of 13 host galaxies are all well fit by a model consisting of a dominant disc and a nuclear point source, providing strong constraints on the maximum contribution of a small bulge component. In three cases, we do not detect a second, compact host component. For the other systems, examination of residuals from fitting only the extended disc + nuclear point-source components shows clear signs of a small extended component in the centre; in all but one case this additional component has a Sérsic index consistent with a pseudo-bulge \((n < 2\) within the 1σ uncertainties). The mean and median contributions of these pseudo-bulges to the total host galaxy light are 3.6 and 3.3 per cent, respectively.

The sole exception \((J094112.93+610340.7)\) has a marginal \(n = 2.0 \pm 0.7\), meaning we cannot say from this criterion alone whether it is a classical bulge or a pseudo-bulge. However, its mean surface brightness within the effective radius, \((\mu_e)\), is lower than the 3σ lower bound for the \((\mu_e)\) relation for classical bulges and elliptical galaxies (fig. 8 of Gadotti 2009), strongly suggesting it is indeed a pseudo-bulge. (The other compact host components in the sample also lie in the pseudo-bulge region below the classical bulge region in the \((\mu_e)\) plane.) We also note that the host galaxies with no detected pseudo-bulge are the highest redshift sources in the sample; higher resolution imaging could clarify both the status of this one exception and the pseudo/bulgeless nature of those sources with \(z > 0.06\).

As an additional check on the robustness of the fits, we examined near-infrared images for those objects currently covered in the UKIRT Infrared Deep Sky Survey (UKIDSS; Lawrence et al. 2007) K band. Only four galaxies currently have sufficient depth for reliable separation of host galaxy components using the techniques described in Section 2.4, and all four have morphological parameters consistent with those obtained for the r-band images. This supports the assumption in Section 5 that the mass-to-light ratios of the discs and pseudo-bulges are not significantly different and indicates that the dust extinction is not preferentially causing a loss of pseudo-bulge flux in this sample.
Figure 3. SDSS inverted $r$-band images and residuals from parametric fitting of the AGN+hosts in Fig. 2. Images are ordered by ascending redshift (as in Fig. 2); the residual after subtracting best-fitting model is below each image (with the same scaling as the original image). Fitting confirms that the first 13 galaxies are bulgeless discs; best-fitting parameters are given in Table 1.

It is therefore highly likely that these galaxies are truly bulgeless. However, we conservatively assume that all of the light from each pseudo-bulge component could be the light from a classical bulge and consider it a robust upper limit on the contribution of a classical bulge. When no bulge is detected, we assume the upper limit to be 2 per cent of the host galaxy light. The minimum detected pseudo-bulge contribution is 1 per cent; the maximum is 7.5 per cent.
3.2 Host galaxy properties

The sample is insufficiently large to draw significant conclusions about host galaxy properties, but it is worth noting that the galaxies, as seen in Fig. 2, are heterogeneous examples of disc galaxies, comprising both barred and unbarred, red and blue, and tightly and loosely wound spirals. Even with this small sample, it is obvious that bulgeless galaxies hosting AGN are not restricted to a single morphological class. They span typical stellar mass and colour values for inactive late-type galaxies (Fig. 4). This sample does not contain host galaxies firmly on the red sequence; however, a detailed comparison to the inactive population is difficult owing to our emphasis on a pure rather than a complete sample. Disc galaxies on the red sequence are more likely to host growing black holes than those in the blue cloud (Masters et al. 2010); further work is required to determine the fraction of bulgeless disc galaxies (both hosting AGN and not) on the red sequence, as well as the overall population of bulgeless galaxies.

Table 1 summarizes the results of parametric fitting. The \( L_{AGN}/L_{host} \) ratios range from 0.003 to 0.069. Extended Sérsic profiles are disc-like (median \( n = 0.73 \)), and the disc effective radii range from 2.4 to 15.2 kpc.

One galaxy (J154832.59+083516.5) is best fit with two extended discs, which have similar axis ratios (\( b/a \approx 0.8 \)) but are rotated by approximately 16° with respect to one another. The two discs are visually evident in the colour image (top-left panel of Fig. 2), and the fit improves with the addition of the second extended disc component: the reduced goodness-of-fit parameter is \( \chi^2 = 1.032 \) with two discs versus 1.244 with a single disc (with \( \approx 40,000 \) degrees of freedom), a significant improvement in the fit.

We note that the faint apparent companions to two of the galaxies shown in Fig. 2 (J160534.64+323940.8 and J110308.04+072744.4) are in fact projections of more distant background galaxies, according to photometric redshifts.

![Figure 4. \( u-r \) colour versus stellar mass for SDSS inactive late-type galaxies with 0.02 < \( z < 0.05 \) (black and grey contours; Schawinski et al. 2010), with the 13 bulgeless AGN host galaxies from this work shown in green. The bulgeless host galaxies span a range of masses and colours but are mainly located in the blue cloud at masses typical of the inactive disc galaxies from Schawinski et al. However, as the AGN host sample presented here is incomplete and was not selected in the same way as the inactive late-type sample, we caution against detailed comparisons between these samples.](https://academic.oup.com/mnras/article-abstract/429/3/2199/1003636/2205)

J160534.64+323940.8 also has an asymmetric spiral arm pattern, but asymmetric spiral features are not necessarily signatures of interaction, as disc instabilities can lead to bars, warps and other asymmetric features without external interaction (Saha, Combes & Jog 2007; Sellwood 2010).

Baldry et al. (2006) derive a useful environmental measure for SDSS galaxies closer than a redshift of 0.085. The local density for a galaxy is given by \( \Sigma_N = N_s / (\pi d_N^2) \) where \( d_N \) is the projected distance to the Nth nearest neighbour that is more luminous than \( M_r = 20 \), and \( \Sigma \) is determined by averaging the density determined using spectroscopic neighbours with that from using both photometric and spectroscopic neighbours. We use the extension to SDSS DR6 described in Bamford et al. (2009). 12 of our sample are included in the catalogue; values range from \( \Sigma = 0.076 \) to 2.48 with a mean of 0.450, corresponding to the density of a typical field environment. For comparison, the entire SDSS has typical local density measures from 0.2 to 25. The environments of our systems are therefore markedly different from those of merging galaxies (Darg et al. 2010), supporting the idea that these bulgeless systems are free from the recent merger activity.

Stellar masses were derived following Baldry et al. (2006) using a best-fitting stellar mass-to-light ratio corrected for the observed dependence on \( u-r \) colour. This approach, which was first introduced by Bell & de Jong (2001), is not as accurate as one based on full spectral fitting, but retains a simple relation between observed and derived quantities. It is also less likely to be distorted by the presence or absence of an AGN with luminosities like those in this sample.

4 BLACK HOLE MASSES

Two of the AGN in the sample (J120257.81+045045.0 and J133739.87+390916.4) have broad emission lines. We used the H\( \alpha \) line width and flux to measure the black hole masses of these sources, following Greene & Ho (2007) and Jiang et al. (2011b). We began by fitting the stellar continuum present in each spectrum using the GANDALF software (Sarzi et al. 2006). Although GANDALF is designed to fit both absorption and emission lines, the profiles of the narrow emission lines in both objects are complex and asymmetric, so we instead subtracted the continuum fits from the data and analysed the residual emission-line spectra ourselves. The process involved (a) modelling the profile of the \([\text{O}\,\text{ii}]\) 5007 line as the sum of two to three Gaussian components, (b) fitting the \([\text{O}\,\text{iii}]\) model to the \([\text{S}\,\text{ii}]\) 6716,6731, \([\text{N}\,\text{ii}]\) 6548,6583 and narrow H\( \alpha \) lines, and (c) modelling the residual broad H\( \alpha \) components as a single Gaussian (J133739.87+390916.4) or Lorentzian (J120257.81+045045.0). The results are shown in Fig. 5. For J133739.87+390916.4, the broad H\( \alpha \) line has a width of 4950 km s\(^{-1}\) full width at half-maximum (FWHM) and a luminosity of 1.38 \( \times 10^{40} \) erg s\(^{-1}\), which correspond to a black hole mass of 1.2 \( \times 10^7 \) M\(_\odot\) (Jiang et al. 2011b). The broad H\( \alpha \) line in J120257.81+045045.0 has a width and luminosity of 2810 km s\(^{-1}\) and 1.9 \( \times 10^{40} \) erg s\(^{-1}\), respectively, suggesting a black hole mass of 4.2 \( \times 10^6 \) M\(_\odot\). A Gaussian fit to the broad H\( \alpha \) component of this object would imply a slightly larger black hole mass, although it is inappropriate based on the spectral fits (Fig. 5).

Lower limits on black hole masses for the remaining sample can be obtained from the bolometric luminosity of the sources. For sources like these where the host galaxy dominates the emission at most wavelengths, bolometric luminosities are typically obtained...
using corrections to either the hard X-ray or mid-infrared bands, where the AGN emission dominates. All the sources are detected by the Wide-field Infrared Survey Explorer (WISE; Wright et al. 2010) with S/N > 5. We use the wavelength-dependent bolometric corrections of Richards et al. (2006), which are not strongly dependent on AGN luminosity, to estimate the bolometric luminosity based on the longest-wavelength infrared data available for each source. 12 of the 13 AGN with bulgeless hosts are detected in the W4 band centred at 22 μm (L_{bol} \approx 10 \times L_{22μm}); all are detected in the W3 band centred at 12 μm (L_{bol} \approx 8 \times L_{12μm}). We verified that black hole mass limits calculated in this way are not significantly different from mass limits for this sample calculated using a bolometric correction to the [O iii] luminosity (Heckman et al. 2004; Stern & Laor 2012).

Given the bolometric luminosity, making the assumption that accretion is at the Eddington limit yields a lower limit on the black hole mass. Super-Eddington accretion is required for a black hole mass limit derived in this way to be overestimated; this is only rarely observed and, when present, typically results in only a small change in observed luminosity (Collin & Kawaguchi 2004). We note that the two sources with measured black hole masses are accreting at rates well below their Eddington limits (L_{bol}/L_{Edd} = 0.05 and 0.08 for J120257.81+045045.0 and J133739.87+390916.4, respectively). If these growth rates are typical of the sample, it implies that the actual black hole masses of the sample are higher by at least \sim 1 dex than the computed lower limits. The lower limits obtained on black hole mass are substantial, ranging from \sim 10^7 to 10^9 M_{\odot}. The black hole masses/mass limits are given in Table 1.

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### Table 1

<table>
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<th>Extended Host Sérsic(s)</th>
<th>Compact Host Sérsic</th>
<th>L_{bol} (erg s^{-1})</th>
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**5 DISCUSSION**

Massive pure disc galaxies hosting growing SMBHs offer a powerful probe of the co-evolution of galaxies and black holes in the absence of bulge-building mechanisms. However, relatively few such systems are currently known. The challenges involved in finding them arise from a combination of factors. First, galaxy evolution as a whole is currently thought to have proceeded (e.g. White & Rees 1978; Springel et al. 2005; Macciò et al. 2010) via processes favouring the formation of significant bulges, including mergers and violent disc instabilities such as clumps (Noguchi 1999; Elmegreen et al. 2004; Elmegreen, Bournaud & Elmegreen 2008, though also see Inoue & Saitoh 2011). In such a universe, massive bulgeless discs formed in the absence of these processes are expected to be very rare (e.g. Steinmetz & Navarro 2002). Additionally, only a small fraction of those are expected to host actively growing SMBHs at any given time. Identification of AGN via optical emission lines is possible over volumes large enough to enclose a rare host galaxy sample, but such diagnostics miss optically obscured AGN; the nuclear emission from those AGN that are detected can lead to visual confusion with a small bulge, impeding the identification of bulgeless galaxies hosting optically selected AGN.

However, using a combination of Galaxy Zoo visual classifications within the SDSS and parametric morphological analysis, we have selected 13 such galaxies. The unambiguously disc-dominated sample presented here was selected to favour purity rather than completeness and can thus be considered a robust initial sample of massive AGN host galaxies without classical bulges and with very small (or undetected) pseudo-bulges.
The contribution of pseudo-bulges to these systems is extremely small even compared to other samples of AGN host galaxies with histories thought to be dominated by secular evolution (Orban de Xivry et al. 2011; Mathur et al. 2012) or to the samples of inactive massive bulgeless galaxies (Kormendy et al. 2010). Of the bulgeless giant galaxies discussed by Kormendy et al., only the extremely disc-dominated (3 of 19 in that sample) have comparable pseudo-bulge-to-disc ratios. The galaxies in our sample are thus fully consistent with being pure-disc AGN host galaxies, which places strong constraints on their histories: they have grown to masses of $M_{\star} \sim 10^{10} M_{\odot}$ in the absence of any significant mechanism building classical or pseudo-bulges.

In particular, these galaxies have no major mergers in their collective history, and their minor merger history is strongly limited. Simulations typically show that mergers with mass ratios greater than 1:10 form a classical bulge (Walker et al. 1996; Hopkins et al. 2011); the lack of bulges in this sample constrains its merger history to events with a smaller ratio (in the sense that the satellite is less than one tenth of the main galaxy’s mass).

Note that some recent work suggests that bulges may be suppressed in mergers up to a mass ratio of $\sim$1:4 (Brook et al. 2012), which, if true, would allow a galaxy to remain bulgeless after merging with somewhat larger galaxies than the typical limit from most simulations. However, such a significant minor merger should leave signs of tidal debris; such signs could be detectable in images at the depths shown here, depending on the stage of relaxation (e.g. the more minor mergers in the spheroidal post-merger sample of Carpineti et al. 2012). None of the galaxies in the sample show evidence of tidal features at the SDSS depth, disfavouring the notion that a minor merger event precipitated the observed black hole growth (deeper imaging could confirm this; Mihos et al. 2005; Rudick et al. 2009; Cooper et al. 2010; Kaviraj 2010; Martínez-Delgado et al. 2010).

Additionally, Brook et al. predict long-lived bar features in bulgeless galaxies that have undergone a minor merger, but no excess of bars is observed in the sample, suggesting this is not a significant effect. This is consistent with recent results showing no clear link between bars and black hole growth (Lee et al. 2012; Oh, Oh & Yi 2012), even though galactic-scale bars are linked to the overall evolution of disc galaxies (Masters et al. 2011; Skibba et al. 2012). As bars are also linked to the growth of pseudo-bulges (e.g. Bureau & Freeman 1999; Kormendy & Kenicicut 2004; Athanassoula 2005; Fisher, Drory & Fabricius 2009), the extremely low pseudo-bulge-to-total ratios strongly suggest these galaxies have had a very calm evolutionary history for the majority of a Hubble time, with neither major mergers nor episodic gas accretion that could be considered violent even at $z > 2$ (Cooper et al. 2010; Martí et al. 2012). Neither major nor minor mergers, nor even merger-free processes that create sizable pseudo-bulges, are driving the observed black hole growth.

Given the bolometric luminosities of the sample, and assuming that the bolometric luminosity $L_{\text{bol}}$ (energy radiated) is related to the accretion rate $\dot{m}$ (energy captured) by a radiative efficiency factor $\epsilon$, one can estimate the amount of matter falling on to each black hole. Adopting a value of $\epsilon = 0.15$ (Elvis, Risaliti & Zamorani 2002) yields accretion rates of $0.003 \lesssim \dot{m} \lesssim 0.03 M_{\odot}$ yr$^{-1}$ for the sample. This level is easily achievable with feeding via dynamically cold accretion of minor satellites (Crockett et al. 2011), but it may also be possible with merger-free processes alone. For example, Ciotti et al. (1991) calculated that stellar mass-loss from a passively evolving stellar population (albeit in an elliptical galaxy) could send material towards a central SMBH (see Ciotti & Ostriker 2012 for a recent treatment, and Davies et al. 2007 for examples in local Seyfert galaxies). The amount of material that could be driven towards the nucleus within a disc galaxy remains uncertain and the details of such a process are unclear, but gas-rich discs with higher star formation rates and the increased potential for transfer of angular momentum present many purely secular opportunities for feeding central SMBHs (for a detailed review, see Jogee 2006). Given the very small pseudo-bulges in our sample, however, secular processes that grow both pseudo-bulges and black holes (such as violent disc instabilities; Bournaud et al. 2011, 2012; Schawinski et al. 2011b) must still be limited.

Using black hole accretion rate and mass, one can estimate the time required to grow a seed SMBH to the observed mass. We assume that the black holes have grown at the rate (i.e. the luminosity) currently observed since the mass at which that rate was the Eddington rate, and assume Eddington-limited accretion for mass growth that occurred prior to that point. This effectively means the observed accretion rate is assumed to be the maximum rate (in $M_{\odot}$ yr$^{-1}$) at which the black hole has grown over its lifetime. This is quite conservative given the low rates observed, but it provides an estimate of the total time a black hole of the given mass would need to spend in an actively growing phase over its lifetime if the observed rate is typical. For the two systems with firm black hole masses, the time required is between $\sim 1$ and $2$ Gyr, depending on the seed mass (the range given assumes seed masses between $10^2$ and $10^3 M_{\odot}$; Volonteri, Lodato & Natarajan 2008; Volonteri 2010).

Thus, even if the currently observed accretion rate is the maximum rate over the lifetime of these AGN, the time required to grow SMBHs to the masses observed here is considerably less than a
Figure 6. Black hole mass versus bulge mass (left) and total host galaxy stellar mass (right). Host galaxy stellar masses are calculated following Baldry et al. (2006). No source has a detected classical bulge; we calculate robust upper limits to bulge masses (left-hand panel; leftward arrows) using the r-band pseudo-bulge fraction. AGN with broad-line emission have black hole masses calculated from Hα line width and flux (black squares). The vertical arrows indicate lower black hole mass limits calculated assuming radiation at the Eddington limit; super-Eddington accretion is required for a black hole to have a mass below the limit. The local relation between bulge/elliptical stellar mass and black hole mass from Häring & Rix (2004) is plotted as a dashed line; the shaded region indicates the observed scatter of 0.3 dex quoted by Häring & Rix. The black hole masses are higher than predicted by that relation based on the maximum bulge masses of these systems. However, all black hole masses/limits are consistent with the relation if the relation describes total stellar mass. If the Eddington ratios for the two systems with measured black hole masses are typical of the sample, the limits underestimate the black hole masses by at least ~1 dex, amplifying the offset in the left-hand panel, but bringing the sample into good agreement with the correlation between black hole mass and total stellar mass on the right.

Hubble time. The SMBHs in bulgeless galaxies need to spend only ~10 per cent of their lifetimes in an actively growing phase, a similar fraction to that predicted by independent models and observed by others (e.g. Kauffmann et al. 2003; Hao et al. 2005; Hopkins & Hernquist 2006; Fiore et al. 2012). This does not rule out the possibility of higher accretion rates in the past (e.g. with cold accretion flows at high redshift) and less time spent in an active growth phase, but such a phase is not necessary. Further characterization of past black hole growth requires firm black hole masses for the remainder of the sample.

Whatever process has fed these SMBHs, it is clear that the formation of these very small pseudo-bulges does not correlate their properties with $M_{BH}$ in the same way as that of classical bulges. Fig. 6 compares black hole mass with the contribution to the stellar mass of the host galaxy from a bulge or pseudo-bulge component (under the assumption that the mass-to-light ratio of the compact galaxy component is equal to that of the whole galaxy), for comparison to measured galaxy–black hole correlations. Such correlations (e.g. Marconi & Hunt 2003; Häring & Rix 2004) compare black hole masses to classical bulge properties. However, there are no detected classical bulges in the sample. We therefore calculate maximum bulge masses by using the mass of the pseudo-bulge as a strong upper limit on the mass of a classical bulge. Comparison with the measured correlation between these quantities (Fig. 6) shows that the black hole masses allowed by the derived limits are systematically above the measured correlation with bulge stellar mass.

This result differs from several previous studies (e.g. Greene et al. 2008; Jiang et al. 2011a; Mathur et al. 2012) finding that black holes in galaxies with pseudo-bulges are smaller than predicted by standard bulge–black hole relations. However, this apparent conflict is a result of different sample selection techniques. Whereas our study starts by selecting bulgeless host galaxies and then examines black hole masses, previous studies of pseudo-bulges and/or bulgeless host galaxy samples have typically started by selecting low-mass SMBHs and subsequently examined the host galaxies. In studying narrow-line Seyfert 1 galaxies, for example, Mathur et al. (2012) preferentially selected lower mass central black holes undergoing Eddington-limited accretion. Similarly, the sample of Greene & Ho (2007) was created by first selecting unobscured AGN with detected broad optical emission lines and then limiting the black hole mass to $M_{BH} < 2 \times 10^7 M_{\odot}$. The visual selection method used in this paper, on the other hand, may be biased against selecting broad-line AGN unless a more aggressive correction for bulge-nucleus confusion is used. As a result, there is no overlap between this sample and that of Greene & Ho, despite the two being drawn from the same optical data set.

It may not be surprising that the host galaxies in different samples are more similar than the black holes, especially between studies using varying selection methods within the same parent data set. Taken as an ensemble, the collective results may be evidence that there is no intrinsic correlation between pseudo-bulge mass and black hole mass (in agreement with Kormendy, Bender & Cornell 2011), but a more uniform selection of a larger sample may be necessary to confirm that. We note, for example, that the typical galaxy in this sample has a lower redshift than the sub-sample of Jiang et al. (2011b, which uses the sample of Greene & Ho 2007) with $B/Tot < 5$ per cent, as well as a slightly higher total stellar mass.
Fig. 6 also shows the relationship between black hole mass and total stellar mass, for comparison to the same black hole–galaxy mass relation. Note that the relation is based on elliptical/bulge masses for primarily bulge-dominated systems (26 of 30 galaxies in Häring & Rix 2004 are classified as E or S0), so $M_{\text{bulge}} \approx M_{\text{tot}}$ for most of the systems on which the relation of Häring & Rix is based, in contrast to the total stellar masses of the bulgeless galaxies in this sample. Because the discs in this sample have very different dynamical histories than elliptical or bulge-dominated galaxies, we do not expect their masses to correlate with black hole mass in the same way as bulges if different dynamical histories lead to different rates of black hole growth.

However, the comparison of bulgeless galaxies to the correlation based on bulge-dominated galaxies shows very good agreement. One of the two systems in the sample for which absolute measures of black hole mass are available is consistent with the relation, while the other has a black hole mass just below the observed scatter (0.3 dex; but given the uncertainties in the stellar masses, it is marginally consistent). The systems with limits on the black hole masses are consistent with the relation, but given the Eddington ratios of the two systems with measured black hole masses, these limits are likely underestimated by at least 1 dex. If we assume that the Eddington ratios of the two systems with measured masses are typical of the sample and apply them to the black hole mass estimates of the remaining 11 systems, 9 of the 11 fall within the scatter of the Häring & Rix (2004) relation between black hole mass and total galaxy stellar mass (the remaining two are outside the scatter by approximately the same amount as J120257.81+045045.0). That the black hole masses of pure disc galaxies may correlate with total stellar host galaxy mass in the same way as SMBHs in bulge-dominated and elliptical galaxies – despite very different galactic formation histories – indicates that the evolutionary processes driving the dynamical and morphological configuration of the galaxy stellar mass may not be fundamental to the growth of the central black hole.

The results presented here should be read in the context of results from a growing number of simulations which show that the black hole–galaxy connection is a reflection of mutual correlations between these two components and the overall gravitational potential of the dark matter halo (Booth & Schaye 2010; Volonteri, Natarajan & Gültekin 2011), such that the black hole–galaxy relation is a natural outcome of hierarchical galaxy evolution (Jahnke & Macciò 2011) regardless of the merger history of the galaxy. The observational evidence is less clear: some work indicates a correlation between halo mass and black hole mass (Bandara, Crampton & Simard 2009), with some evidence that outliers to the $\sigma-M$ relation are not outliers on a similar relation between SMBH and dark matter halo (Bogdán et al. 2012), but others find no correlation between black hole mass and dark matter halo (Kormendy & Bender 2011).

Regardless of whether the galactic-scale evolution of baryons is fundamental to the evolution of SMBHs, bulgeless galaxies hosting AGN provide a means of studying merger-free and/or dynamically cold pathways to SMBH growth in relative isolation compared to the majority of galaxies with a more complicated history of mergers and secular processes. They may eventually provide leverage to constrain the maximum black hole growth possible via merger-free processes in all galaxies, and to separate the extent to which SMBH and galaxy properties correlate as a result of different evolutionary processes. The results presented here indicate that significant black hole growth (to at least $\sim 10^7 \, M_\odot$) is possible via pathways free of mergers, although a more complete treatment requires follow-up work to determine firm black hole masses for the remainder of the sample.

6 CONCLUSIONS

By using classifications of visual morphologies of SDSS galaxies, drawn from the Galaxy Zoo project, we have selected a large set of bulgeless face-on spiral galaxies. A conservative initial selection identifies 13 of these galaxies which are unambiguously systems with growing black holes. Parametrized fitting of these galaxies provides stringent limits on bulge or pseudo-bulge mass, with the latter typically contributing $\sim 3$ per cent by mass on average. Two of the galaxies in the sample have broad-line AGN, and thus measurements of their black hole mass are possible. For the rest, infrared observations from the WISE mission allow us to place a lower limit on the black hole mass. The black hole masses are substantial, reaching $\sim 10^7 \, M_\odot$, and lie above those predicted by the local bulge–black hole mass relation, even when all the pseudo-bulge component is included as an upper limit to the mass of the classical bulge in each case.

One of the two black holes with measured masses is fully consistent with the relation between black hole and total stellar mass (the other is just outside the scatter). If the Eddington limits of the black holes with measured masses are typical of the full sample, 80 per cent of the systems for which only lower limits are available have black hole masses consistent with predictions based on total galaxy stellar mass. Firm conclusions require further observations, but it is not inconsistent with the idea that black hole mass is more closely related to the overall gravitational potential of the galaxy and its dark matter halo (which is dominated by the halo but traced by the total stellar mass) than to the dynamically hot bulge component.

In any case, the presence of massive, growing SMBHs in bulgeless galaxies indicates that secular evolution is an important part of the evolution of the galaxy population. Either significant black hole growth is possible even in the absence of significant bulge-building mechanisms, or a dynamical means to keep galaxies bulgeless despite these mechanisms must be found. Future work will include an analysis of more than 10 000 candidate bulgeless galaxies from which this sample was drawn in order to constrain the properties of this intriguing population; in particular, a search for bulgeless systems in mergers will distinguish between the two scenarios left open. Observational follow-up of the small sample identified here, particularly in order to constrain more tightly the bulge properties and black hole masses, is also urgently necessary. Although extending the work to higher redshift will be challenging, Galaxy Zoo classifications for large Hubble Space Telescope studies hold the promise of identifying a similar set of galaxies out to a redshift of approximately one. Even at low redshift, however, the systems identified here present a stringent test of simulations of galaxy formation.

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